

Preparatory steps to Medical Device Controls: ASEAN experience centring on AMDD elements

International Medical Device Conference 2017, Penang

8-10th August 2017

| Indicators | Unit | 2012 | 2013 ^{pl} |
|--|--------------|-----------|--------------------|
| Total land area | km2 | 4,435,617 | 4,435,617 |
| Total population | thousand | 617,165 | 625,096 |
| Gross domestic product at current prices | US\$ million | 2,320,840 | 2,398,154 |
| GDP growth | percent | 5.8 | 5.1 |
| International merchandise trade | US\$ million | 2,476,427 | 2,510,127 |
| Export | US\$ million | 1,254,581 | 1,270,467 |
| Import | US\$ million | 1,221,847 | 1,239,660 |
| Foreign direct investments inflow | US\$ million | 114,082 | 119,756 |
| Visitor arrivals | thousand | 89,225 | - |

Sources ASEANstats,
ASEAN Secretariat

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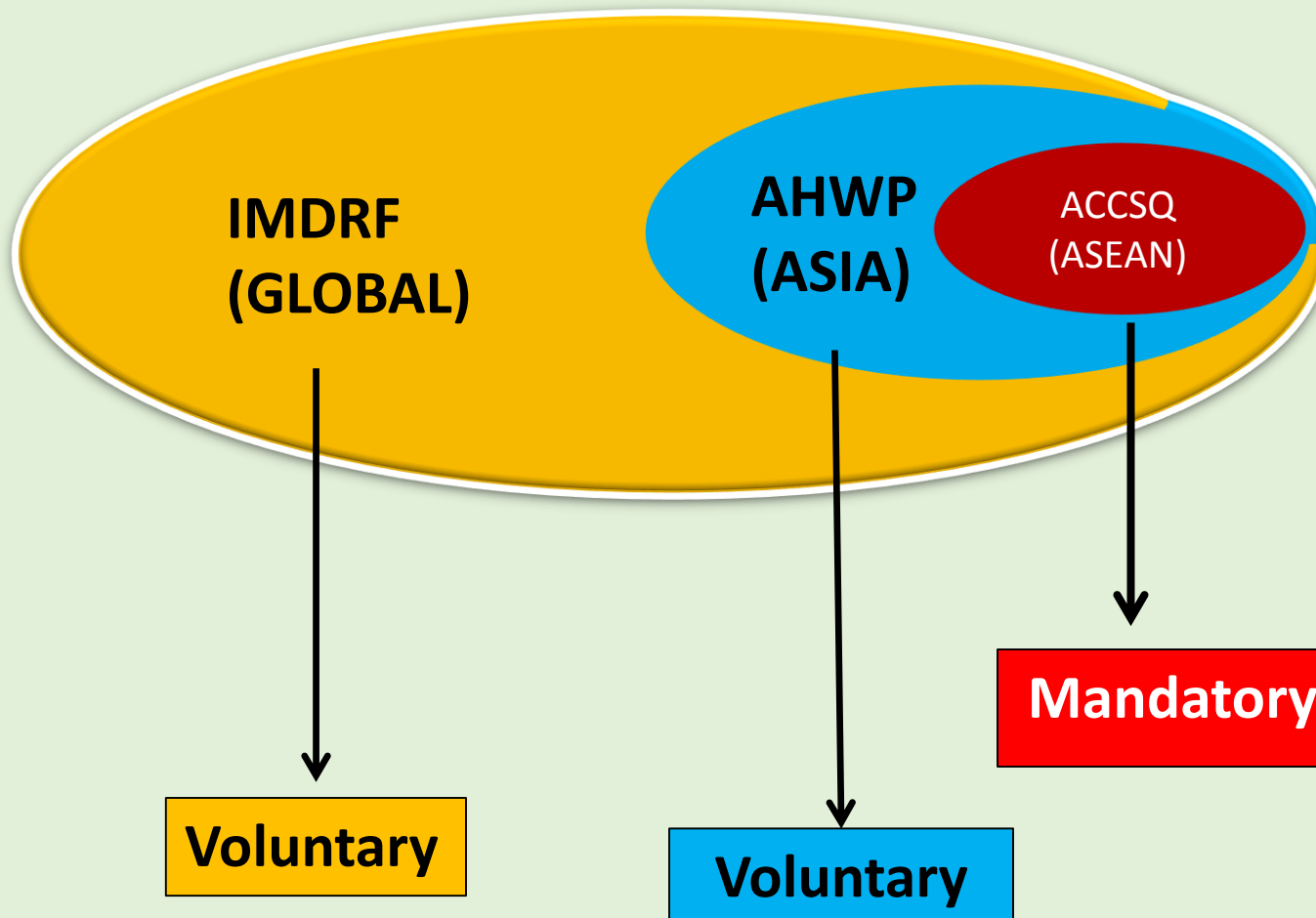


Cross culture...

- **Language: Tagalog, Bahasa Indonesia, Bahasa Malaysia, Thai, Vietnamese, Lao, Myanmar, Cambodia, English (commonly used language)**
- **Diverse culture;**
- **Timing: 2020 convergence of regulations**
- **Interests: Local, British, French, American, Dutch, Portugese**
- **Local Government**

HARMONIZATION OF MEDICAL DEVICES REGULATION

HARMONIZATION Groups



Principles of ASEAN ECONOMIC COMMUNITY



Medical device Regulation toward the AEC 2015

Goal of creating an ASEAN Economic Community by 2015, with fast-track integration of the 12 priority sectors



1. Agro-base product

5. Electrical

9. Health care product (drug, traditional drug, cosmetic and **Medical Devices**)

2. Air Travel

6. Rubber base product

10. Textiles and apparels

3. Automotive

7. Tourism

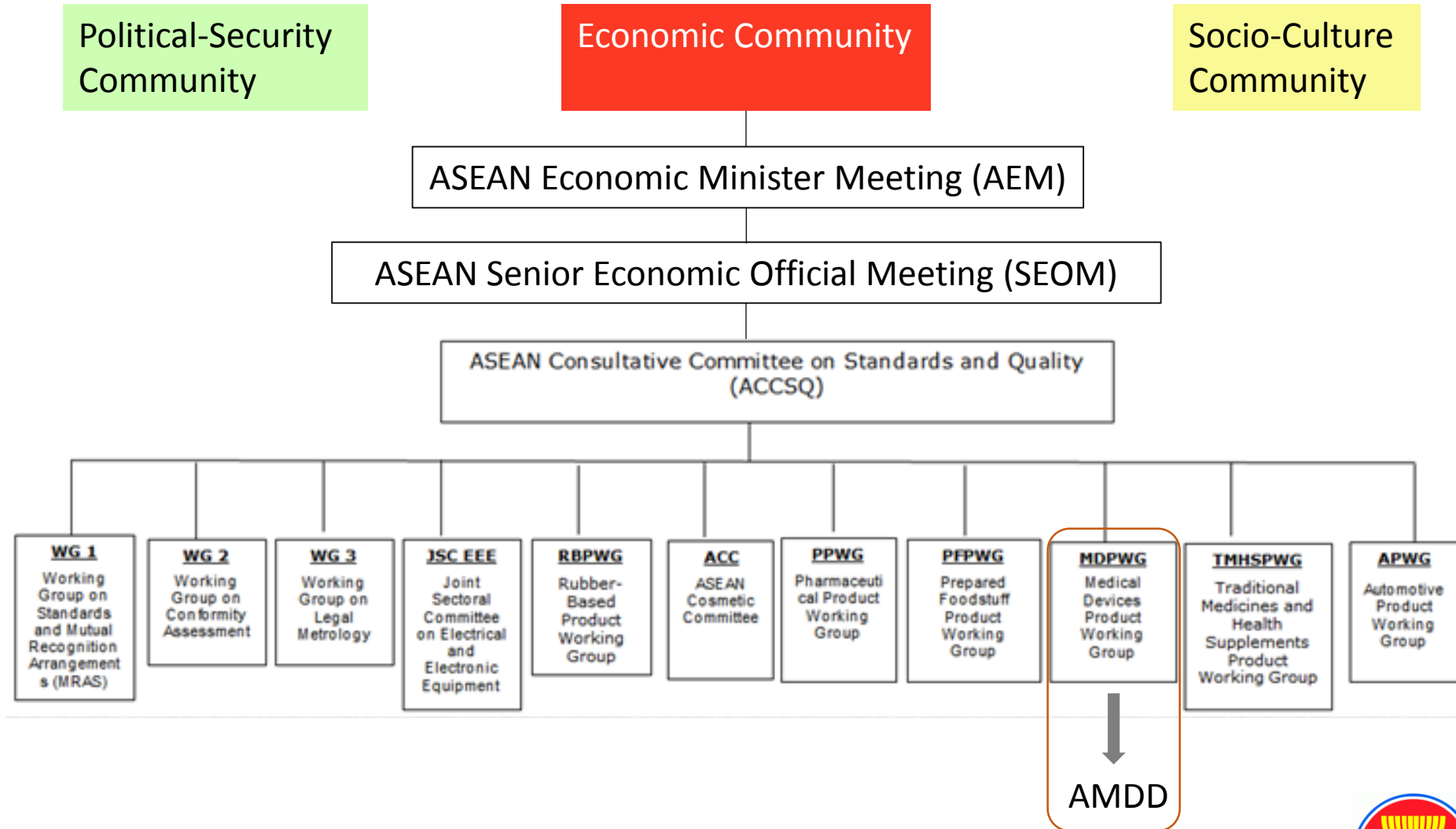
11. Wood-based product

4. e-ASEAN

8. Fisheries

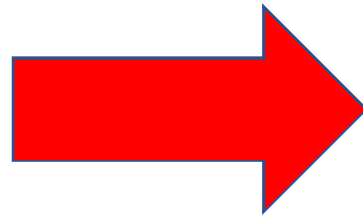
12. Logistics Services

ASEAN Structure



Why convergence is critical in ASEAN

- Common requirements for addressing product life cycle
- Reduce complexity needed to meet local requirements
- Facilitating cooperation among regulators
- Common and transparent premarket evaluation, post market surveillance and uniform quality system



- Reduce time to market access & facilitate trade
- Reduce cost to market Medical devices
- Improve regulatory efficiency
- Enhancement of public health protection

ASEAN Economic Community **Blueprint**– Medical Device PWG AGENDA

HARMONISED REGULATORY
FRAMEWORK: **THE ASEAN
MEDICAL DEVICE
DIRECTIVE**

HARMONISED
PREMARKET
SUBMISSION FORMAT:
**ADOPTION OF THE
COMMON SUBMISSION
DOSSIER TEMPLATE**

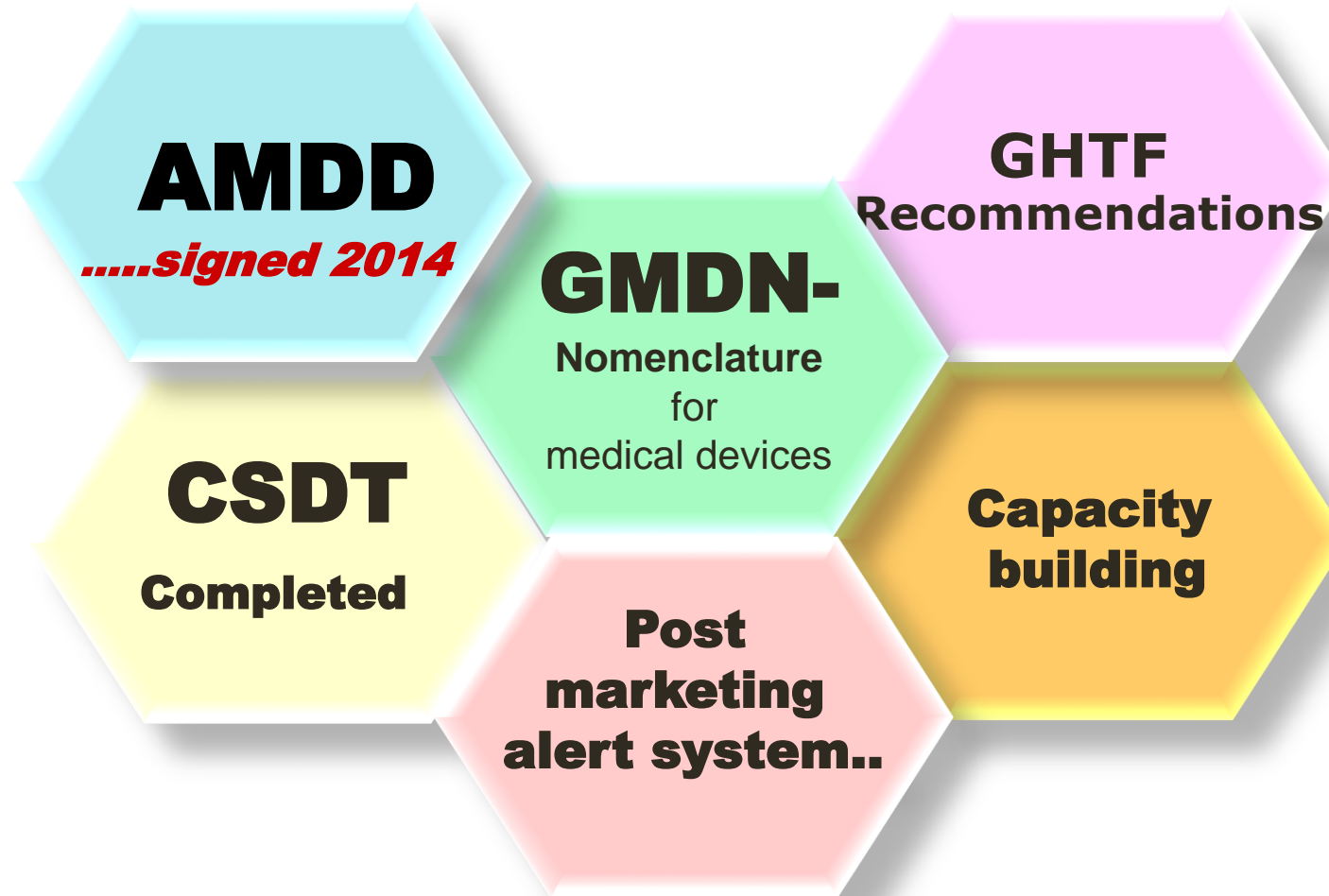
HARMONISATION

HARMONISED SET OF
VOLUNTARY STANDARDS IN
ASEAN: **BASED ON IEC AND
ISO STANDARDS**

SHARING OF : **POST
MARKET SAFETY
INFORMATION AMONG
ASEAN MEMBER STATES**

MDPWG WORK PROGRAMME

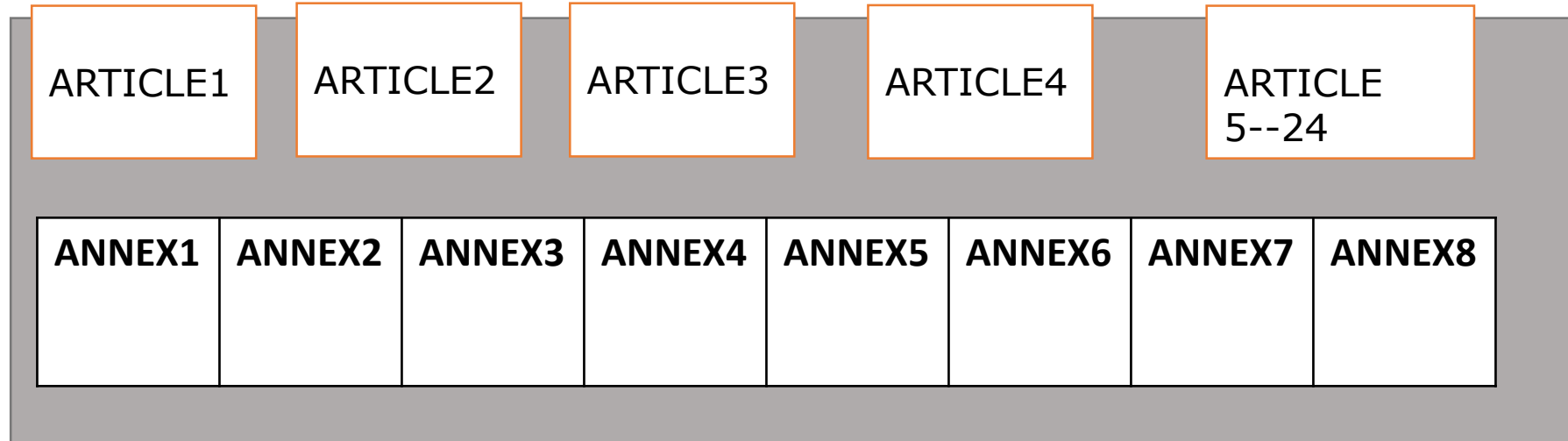
To facilitate the **integration** of the medical devices sector through elimination of technical barriers to trade in ASEAN



Major Requirements of the ASEAN Medical Device Directive

- **Licensing of Establishment**
- **Medical Device Registration**
- **Device Classification**
- **Definition Of Medical Device**
- **Common Submission Dossier Template**
- **Post-market requirements**
- **Establishment of ASEAN Medical Device Committee (AMDC)**
- **Clinical Trials**

AMDD STRUCTURE Uniform Regulatory Structure



Transpose AMDD into National Laws

National Laws of Member States for Medical Device Control

| Article No. | Title |
|-------------|--|
| 1 | General Provisions |
| 2 | Definitions & Scope |
| 3 | Essential Principles of Safety & Performance of MD |
| 4 | Classification of MD |
| 5 | Conformity Assessment of MD |
| 6 | Registration & Placement on the Market |
| 7 | Licensing of person responsible for placing MD on the markets of Member States |
| 8 | Technical Documents for MDs |
| 9 | Reference to technical standards |
| 10 | Labelling |
| 11 | Medical device claims |
| 12 | Post-marketing alert system |
| 13 | Clinical Investigation |
| 14 | Institutional arrangements |
| 15 | Safeguard clauses |
| 16 | Confidentiality |
| 17 | Special cases |
| 18 | Implementation |
| 19 | Revisions, Modifications and Amendments |
| 20 | Dispute settlement |
| 21 | Reservations |
| 22 | Entry into Force |
| 23 | Annexes |
| 24 | Depositary |

24 Articles

- ❖ Intend to reduce the time-to-market & cost of medical devices
- ❖ Patient safety standards will improve across the region.



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24 Articles

A Uniform
Regulatory
Framework for
member economies



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24 Articles

A Harmonised
Requirements for
Medical Devices



8 Annexes

| Annex No. | Title |
|-----------|---|
| 1 | Essential Principles for Safety & Performance of MDs |
| 2 | Risk Classification Rules for MDs other than In Vitro Diagnostic (IVD) MDs |
| 3 | Risk Classification Rules for IVD MDs |
| 4 | ASEAN Common Submission Dossier Template |
| 5 | Post-Marketing Alert System (PMAS) Requirements |
| 6 | Components Elements of a Product Owner's or Physical Manufacturer's Declaration of Conformity (DOC) |
| 7 | Labelling Requirements |
| 8 | Clinical Investigation |



July 2007

- AMDD begun in relation to the establishment of AEC

May 2012

- Development of AMDD:
 - National Consultation
 - National Consultation Feedback Review
 - Incorporation of Amendments
 - Legal scrubbing by Member State
 - Legal scrubbing by ASEAN Secretariat's Legal Department
 - **Finalisation of AMDD (Version 15)**

Sept 2013

- Endorsement by ACCSQ
- Endorsement by SEOM

Dec 2014

- **Signing of AMDD at AEM**

Jan 2015-

Dec. 2020

- AMDD becomes effective when ASEAN Member States deposit instruments of ratification with ASEAN Secretariat General

Milestone of AMDD



ONCE the AMDD is signed..

- ❖ AMDD does not enter into force until instruments of ratification are deposited with ASEAN Secretary-General.
 - ❖ No deadline for deposition but member states have agreed in principle to begin transposing regulatory controls to national legislation.
- ❖ Administrative elements of AMDD would begin to be managed by ASEAN Medical Device Committee (AMDC),
- ❖ Amendments to technical requirements to ensure AMDD is continuously aligned to international best practices
- ❖ Further areas of harmonization eg IMDRF

PROGRESS OF MDPWG TO AMDC

- Establishment of the ASEAN Medical Device Committee (AMDC)
- To coordinate, review and monitor the implementation of AMDD
- Comprise of representatives from Regulatory Authority of each Member State
- The ACCSQ and ASEAN Secretariat shall support in coordinating and monitoring the implementation of AMDD
- AMDC may establish ASEAN Medical Device Technical Committee (AMDTC) to assist the AMDC in reviewing the technical safety and safety issues.
- Milestone for completion of transposition process and submission of instrument of ratification by 2020. The milestone will include, among others, the following items
 - (i) Development of Guidelines for implementation of AMDD,
 - (ii) Implementation of AMDD in phases,
 - (iii) Monitoring of the implementation of AMDD
 - (iv) Development of a matrix on common interpretation of AMDD

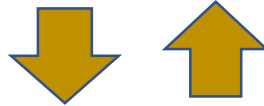
AMDD INSTITUTIONAL STRUCTURE

Oversee the implementation of
AMDD

**ASEAN MEDICAL DEVICE COMMITTEE
(AMDC)**



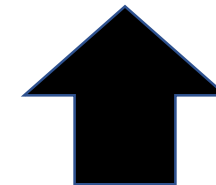
**ASEAN
MEDICAL
DEVICE
DIRECTIVE
(AMDD)**



Review
technical & safety issues

**ASEAN MEDICAL DEVICE TECHNICAL
COMMITTEE (AMDTC)**

Review,
Coordinate
& Monitor Implementation of AMDD



**ASEAN CONSULTATIVE COMMITTEE ON STANDARD and QUALITY (ACCSQ)
ASEAN SECRETARY**

Updates on the AMDC Sept 2017

- AMDTC to monitor the progress as follows
 - Risk Classification-
 - To have a list of comprehensive risk classification
 - To compile and identify similarities and difference among member countries
 - Borderline Products
 - To discuss the devices and the borderline products and submit the complete list for endorsement
 - Grouping
 - Agreed for member states to share and present the regulation on grouping systems
 - Workplan for AMDTC

| AMDD ARTICLE | PROVISION | MALAYSIA | SINGAPORE | CAMBODIA | INDONESIA | LAOS | PHILIPPINES | THAILAND | MYNMAR |
|--------------|-----------------------------------|----------|-----------|----------|-----------|------|-------------|-------------|---------|
| | STRUCTURE | Y | Y | Y | Y | Y | Y | Y | Y |
| | ACT | Y | Y | Y | Y | Y | N/A | Y | Ongoing |
| 4 | CLASSIFICATION OF MEDICAL DEVICES | Y | Y | Y | Y | Y | N/A | Y | Y |
| 6 | REGISTRATION | Y | Y | Y | Y | Y | Y | Y | N/A |
| 7 | LICENSING | Y | Y | Y | Y | Y | Y | Y | Y |
| 10 | LABELLING | Y | Y | Y | N/A | Y | N/A | Ongoing | N/A |
| 12 | POST-MARKETING ALERT SYSTEM | Y | Y | N/A | Y | Y | Y | Y | Y |
| | RATIFICATION | 2018 | Done | 2018 | 2019 | Done | 2018 | End of 2017 | 2018 |

AMDD CURRENT TRANSPOSITION STATUS IN MEMBER COUNTRIES

| Transposition of AMDD | Member Economies | Comments |
|------------------------------|-------------------------|---|
| Full Implementation | 3 | Singapore, Laos PDR Vietnam |
| Ongoing Ratification | 7 | Brunei, Cambodia, Indonesia Malaysia Myanmar Philippines Thailand |

NEW PROGRAM

- Interpretative Notes of AMDD
- Development of GANTT Chart for Phase Implementation of AMDD
- Development of Guidelines on Post Market Surveillance

The reality of AMDD

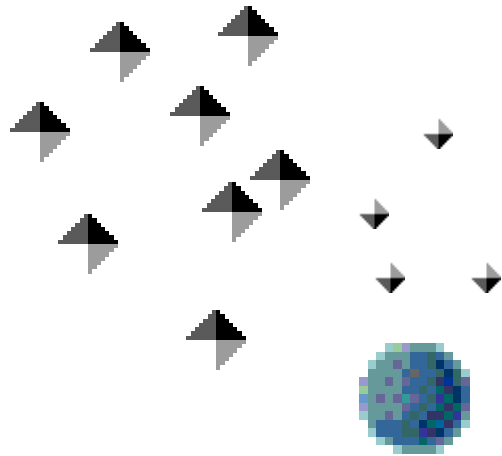
- It defines submission of technical requirements to be harmonized
 - It requires Member countries to register products and license establishments
 - It states that development of the guidelines and standards that follows internationally recognized institutions and organisations
- Flexible in that Member states however, still retain their sovereign rights on how registration and licensing decisions
 - It allows member countries to implement country specific measures of controls
 - Focusing only on certain important aspect of medical device regulatory control and not aimed in harmonizing all of it

Impact Analysis on ASEAN Industry

- Harmonised Technical Requirements (CSDT)
- Common Definition
- Common Risk Classification
- Use of International Standards
- Post market vigilance and surveillance systems
- Opportunities for regulatory integration
- Teething Phase
- Industry's own Expectations

Challenges

- Language of AMDD
- The state of readiness of Member States to transpose the AMDD?
- Factors that can influence the speed of transposition
- Capacity Building
- PMS alert system



Thank
you

